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Docket No.: 113217.121 US2
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Frederick W. LARK et al.

Application No.: 10/680407 Confirmation No.: 4331

Filed: October 8, 2003 Art Unit: 3624

For: METHOD AND SYSTEM FOR COMPUTER-BASED AUCTIONING OF BASIC GENERATION SERVICES Examiner: Not Yet Assigned

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Applicants request that the Examiner initial and return a copy of the enclosed Form PTO SB-08 with the next communication.

Dated: July 26, 2006

RD.

Respectfully submitted,

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Complete if Known	
Application Number	10/680407-Conf. #4331
Filing Date	October 8, 2003
First Named Inventor	Frederick W. LARK
Art Unit	N/A
Examiner Name	Not Yet Assigned
Attorney Docket Number	113217.121 US2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number Number-Kind Code[2] (*if known*)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Sheet 2 of 4

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Attorney Docket Number	113217.121 US2

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Examiner Initials*	Cite No.[1]	Foreign Patent Document: Country Code[3]-Number[4]-Kind Code[5] *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]
	BA	WO-98/34187-A1	08-06-1998	AUTOCOM APS		

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
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Examiner Signature		Date Considered	

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Sheet 3 of 4

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Filing Date	October 8, 2003
First Named Inventor	Frederick W. LARK
Art Unit	N/A
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Attorney Docket Number	113217.121 US2

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	CT	Ausubel, Lawrence M. "An efficient dynamic auction for heterogeneous commodities." Department of Economics, University of Maryland, pp. 1-30. (July 17, 2002).	
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	CB1	Banks, Jeffrey S. et al. "Allocating uncertain and unresponsive resources: an experimental approach." RAND Journal of Economics, Vol. 20, No. 1, pp. 1-25. (Spring 1989)	
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First Named Inventor	Frederick W. LARK
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Attorney Docket Number	113217.121 US2

	CL1	Underwood, Chris. "A multiple round ascending auction process suitable for the disposal of radio spectrum in New Zealand." Radio Spectrum Policy, pp. 1-6. (January 17, 1996.)	
	CM1	Ethier, Robert et al. "Auction Design for Competitive Electricity Markets." presented at HICSS Conference. pp. 1-19. (January 7-10, 1997).	
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